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MAR 1 8 2005 PATENT

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (Case No. 03-465-D (400.151))

În re A	application of:	)
	McSwiggen, et al.	) Group Art Unit: 1635
Serial :	No.: 10/826,966	) Examiner: Not Assigned
Filed:	April 16, 2004	) Confirmation No.: 2134
For:	RNA Interference Mediated Inhibition of Gene Expression Using Chemically Modified Short Interfering Nucleic Acid (siNA)	) ) ) )

# REQUEST FOR CORRECTED FILING RECEIPT

Mail Stop: OIPE

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Attention: Application Processing Division

Customer Correction Branch

### Dear Sir:

- Attached is a copy of the official filing receipt received from the PTO in the above-referenced application for which issuance of a corrected filing receipt is respectfully requested.
- There is an error with respect to the following data, which is incorrectly entered.

#### Error in

Domestic Priority data as claimed by applicant:

This application is a CIP of 10/757,803 01/14/2004

Which is a CIP of 10/720,448 11/24/2003

Which is a CIP of 10/693,059 10/23/2003

which is a CIP of 10/444,853 05/23/2003

McDonnell Hochnen Hulbert & Berghoff LLP 300 South Wacker Drive, 32nd Floor Chicago, IL 60606

Tel: (312)913-0001 Fax: (312) 913-0002 USSN 10/826,966 MBHB03-465-D (400.151)

> and is a CIP of 10/652,791 08/29/2003 which is a CON of 10/422,704 04/24/2003 ABN which is a CON of 10/417,012 04/16/2003 ABN This application 10/826,966 is a CIP of PCT/US03/05346 02/20/2003 and is a CIP of PCT/US03/05028 02/20/2003 and said PCT/US03/05346 02/20/2003 claims benefit of 60/358,580 02/20/2002 and said PCT/US03/05028 02/20/2003 claims benefit of 60/358,580 02/20/2002 and said PCT/US03/05346 02/20/2003 claims benefit of 60/363,124 03/11/2002 and said PCT/US03/05028 02/20/2003 claims benefit of 60/363,124 03/11/2002 and said PCT/US03/05346 02/20/2003 claims benefit of 60/386,782 06/06/2002 and said PCT/US03/05028 02/20/2003 claims benefit of 60/386,782 06/06/2002 and said PCT/US03/05346 02/20/2003 claims benefit of 60/406,784 08/29/2002 and said PCT/US03/05028 02/20/2003 claims benefit of 60/406,784 08/29/2002 and said PCT/US03/05346 02/20/2003 claims benefit of 60/408,378 09/05/2002 and said PCT/US03/05028 02/20/2003 claims benefit of 60/408,378 09/05/2002 and said PCT/US03/05346 02/20/2003 claims benefit of 60/409,293 09/09/2002 and said PCT/US03/05028 02/20/2003

McDonnell Boehnen Hulbert & Berghoff LLP 300 South Wacker Drive, 32<sup>nd</sup> Floor Chicago, IL 60606 Tel: (312)913-0001 Fax: (312) 913-0002

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USSN 10/826,966 MBHB03-465-D (400.151)

> claims benefit of 60/409,293 09/09/2002 and said PCT/US03/05346 02/20/2003 claims benefit of 60/440,129 01/15/2003 and said PCT/US03/05028 02/20/2003 claims benefit of 60/440,129 01/15/2003 This application 10/826,966 is a CIP of 10/780,447 02/13/2004 and is a CIP of PCT/US02/15876 05/20/2002\* which claims benefit of 60/292,217 05/18/2001 which claims benefit of 60/362,016 03/06/2002 which claims benefit of 60/306,883 07/20/2001 which claims benefit of 60/311,865 08/13/2001 This application 10/826,966 Is a CIP of 10/727,780 12/03/2003 Which claims benefit of 60/543,480 02/10/2004 (\*) Data provided by applicants is not consistent with PTO records

# Foreign Applications:

UNITED STATES OF AMERICA PCT/US03/05346 02/20/2003 UNITED STATES OF AMERICA PCT/US03/05028 02/20/2003 UNITED STATES OF AMERICA PCT/US02/15876 05/20/2002

#### Correct Data:

Domestic Priority data as claimed by applicant:
This application is a CIP of 10/757,803 01/14/2004
Which is a CIP of 10/720,448 11/24/2003
Which is a CIP of 10/693,059 10/23/2003
which is a CIP of 10/444,853 05/23/2003
and is a CIP of 10/652,791 08/29/2003

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USSN 10/826,966 MBHB03-465-D (400.151)

and said PCT/US03/05346 02/20/2003
claims benefit of 60/440,129 01/15/2003
and said PCT/US03/05028 02/20/2003
claims benefit of 60/440,129 01/15/2003
This application 10/826,966
is a CIP of 10/780,447 02/13/2004
which is a CIP of 10/427,160 04/30/2003
and is a CIP of PCT/US02/15876 05/17/2002
which claims benefit of 60/292,217 05/18/2001
which claims benefit of 60/362,016 03/06/2002
which claims benefit of 60/306,883 07/20/2001
which claims benefit of 60/311,865 08/13/2001
This application 10/826,966
Is a CIP of 10/727,780 12/03/2003
Which claims benefit of 60/543,480 02/10/2004

- Applicants are requesting correction of a PTO error in which the claim to benefit of 10/427,160
   was omitted, as indicated in the attached marked-up copy of the Filing Receipt.
- 4. Applicant attaches a copy of the Grant of Applicant's Petition to Correct the Filing Date for PCT/US02/15876. The correct filing date as indicated in the Granted Petition is now May 17, 2002. Thus, the data provided by Applicant is no longer inconsistent with PTO records.
- Priority to PCT Applications PCT/US03/05028, PCT/US03/05346 and PCT/US02/15876 were claimed under 35 USC 119 and 120. As all of these applications designate the US, priority under §119 is being cancelled.

McDonnell Boehnen Hulbert & Berghoff LLP 300 South Wacker Drive, 32<sup>nd</sup> Floor Chicago, IL 60606 Tel: (312)913-0001 Fax: (312) 913-0002 USSN 10/826,966 MBHB03-465-D (400.151)

- 6. Also enclosed is a revised Application Data Sheet showing additions in underlining and deletions in strikethrough
- 7. The correction is not due to any error by Applicant and no fee is due.

Respectfully submitted, McDonnell Boehnen Hulbert & Berghoff LLP

Date: March 15, 2005

Reg. No.: 37,142

S. Greenfield



# <u>United States Patent and Trademark Office</u>

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Offices Address COMMISSIONER FOR PATENTE PO. US 1430 Absorbin Vision 2311-1430

	APPL NO.	FILING OR 371 (a) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
1	0/826,966	04/16/2004	1635	558	03-485-D (400/151)	113	32	1

020306 MCDONNELL BOEHNEN HULBERT & BERGHOFF LLP 300 S. WACKER DRIVE 32ND FLOOR CHICAGO, IL 60606 CONFIRMATION NO. 2134
UPDATED FILING RECEIPT

\*\*OC000000014101913\*\*

Date Mailed: 10/18/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

# Applicant(s)

James McSwiggen, Boulder, CO; Dennis Macejak, Arvada, CO; David Morrissey, Boulder, CO;

# Assignment For Published Patent Application

Sima Therapeutics, Inc., Boulder, CO

Power of Attorney: The patent practitioners associated with Customer Number 020306.

# Domestic Priority data as claimed by applicant

This application is a CIP of 10/757,803 01/14/2004 which is a CIP of 10/720,448 11/24/2003 which is a CIP of 10/893,059 10/23/2003 which is a CIP of 10/644,853 05/23/2003 and is a CIP of 10/652,791 08/29/2003 which is a CON of 10/422,704 04/24/2003 ABN which is a CON of 10/417,012 04/18/2003 ABN This application 10/826,966 is a CIP of PCT/US03/05346 02/20/2003 and is a CIP of PCT/US03/05346 02/20/2003 and said PCT/US03/05346 02/20/2003 claims benefit of 60/358,580 02/20/2003 claims benefit of 60/358,580 02/20/2002

and said PCT/US03/05346 02/20/2003

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claims benefit of 60/363,124 03/11/2002 and said PCT/US03/05028 02/20/2003 claims benefit of 60/363,124 03/11/2002 and said PCT/US03/05346 02/20/2003 claims benefit of 60/386,782 08/06/2002 and said PCT/US03/05028 02/20/2003 claims benefit of 60/386,782 06/06/2002 and said PCT/US03/05346 02/20/2003 claims benefit of 60/406,784 08/29/2002 and said PCT/US03/05028 02/20/2003 claims benefit of 60/405,784 08/29/2002 and said PCT/US03/05346 02/20/2003 claims benefit of 60/408,378 09/05/2002 and said PCT/US03/05028 02/20/2003 claims benefit of 60/408,378 09/05/2002 and said PCT/US03/05346 02/20/2003 claims benefit of 60/409,293 09/09/2002 and said PCT/US03/05028 02/20/2003 claims benefit of 60/409,293 09/09/2002 and said PCT/US03/05346 02/20/2003 claims benefit of 60/440,129 01/15/2003 and said PCT/US03/05028 02/20/2003 claims benefit of 60/440,129 01/15/2003 This application 10/826,966

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which claims benefit of 60/292,217 05/18/2001 and claims benefit of 60/362,018 03/06/2002 and claims benefit of 60/306,883 07/20/2001 and claims benefit of 60/311,865 08/13/2001 This application 10/826,968 is a CIP of 10/727,780 12/03/2003 and claims benefit of 60/543,480 02/10/2004

(\*)Data previded by applicant is not consistent with PTO records.

#### Foreign Applications

If Required, Foreign Filing License Granted: 07/15/2004

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/826,966

Projected Publication Date: 01/27/2005

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

RNA interference mediated inhibition of gene expression using chemically modified short interfering nucleic acid (SiNA)

Preliminary Class

514

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.16

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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## **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filling date of the application. If 6 months has lapsed from the filling date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).